

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER P19945 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">09 / 6 2 2 9 0 4</div>
INTERNATIONAL APPLICATION NO. PCT/KR99/00100	INTERNATIONAL FILING DATE 4 March 1999	PRIORITY DATE CLAIMED 5 March 1998
TITLE OF INVENTION THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK		
APPLICANT(S) FOR DO/EO/US Jae Yeon AHN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information. <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(C)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A Translation of the International Application into English (35 U.S.C. 371 (c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Unexecuted" 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: <ol style="list-style-type: none"> 11. <input type="checkbox"/> An information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Cover Sheet and International Application as published. PCT/ISA/210-International Search Report. Claim of Priority. Cover Letter under 35 U.S.C. 371 and 1.495. PCT/ISA/220 		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

097 622 904

INTERNATIONAL APPLICATION NO.

PCT/KR99/00100

ATTORNEY'S DOCKET NUMBER

PT9945

17. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. \$ 840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 760.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$ 970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

RATE

Total Claims

14 - 20 =

0

X \$18.00

\$0.00

Independent Claims

4 - 3 =

1

X \$78.00

\$78.00

Multiple dependent claim(s) (if applicable)

+ \$260.00

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$918.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$918.00

Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

Extension of Time fee in the amount of \$

+

\$

TOTAL NATIONAL FEE =

\$918.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$

TOTAL FEES ENCLOSED =

\$918.00

Amount to be
refunded

\$

Charged

\$

a. ☒ A check in the amount of \$918.00 to cover the above fees is enclosed.

b. ___ Please charge my Deposit Account No. ___ in the amount of \$ ___ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Bruce H. Bernstein
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191Leslie J. Bernstein
SIGNATUREBruce H. Bernstein
NAME29,027
REGISTRATION NUMBER

P19945.P04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jae Yeon AHN

Serial No : 09/622,904
(National Stage of PCT/KR99/00100)

Application Division

I.A. Filed : March 4, 1999

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

COVER LETTERCommissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In order to comply with the requirements for the submission of an executed declaration of the International Application set forth in the Notification mailed October 18, 2000 which sets a one month period of response until November 20, 2000 (being that November 18, 2000 falls on a Saturday), Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371

- an executed Declaration and Power of Attorney.

- an executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (f) and 1.27 (b)).

- a check in the amount of \$65.00 as payment of the surcharge for late filing of the declaration.

The Commissioner is hereby authorized to charge any additional fee, or credit any overpayment to Deposit Account No. 19-0089.

Respectfully submitted,

Jae Yeon AHN

Leslie Paperna Reg. No. 33,329

Bruce H. Bernstein

Reg. No. 29,027

October 20, 2000
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
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(703) 716-1191

P19945.P02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : J.Y. AHN

Serial No :Not Yet Assigned
(U.S. National Phase of PCT/KR99/00100)

Filed : Concurrently Herewith

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

**COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT
APPLICATION FILED UNDER 35 U.S.C. 371
AND 37 C.F.R. 1.495**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney, will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Va. 20191

If there any questions pertaining to this National Stage Application, the please contact the undersigned below.

Respectfully submitted,
J.Y. AHN

Leslie Wapner Reg 16
Bruce H. Bernstein 33,329
Reg. No. 29,027

September 5, 2000
GREENBLUM & BERNSTEIN, P.L.C.
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